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Bib Data Sheet

CONFIRMATION NO. 9396

|  |   |                                   |   |  |
|--|---|-----------------------------------|---|--|
| <b>SERIAL NUMBER</b><br>09/580,273   | <b>FILING DATE</b><br>05/25/2000<br><b>RULE</b>   | <b>CLASS</b><br>705               | <b>GROUP ART UNIT</b><br>2768   | <b>ATTORNEY DOCKET NO.</b><br>AND1P569 |
| <b>APPLICANTS</b><br>Ronald E. Sloan, Toronto, CANADA;<br>Stephen B. Slutsky, Toronto, CANADA;<br><br><b>** CONTINUING DATA *****</b><br><br><b>** FOREIGN APPLICATIONS *****</b>  |   |                                   |   |  |
| <b>IF REQUIRED, FOREIGN FILING LICENSE</b><br><b>GRANTED ** 06/26/2000</b>   |   |                                   |   |  |
| Foreign Priority claimed <input type="checkbox"/> yes <input type="checkbox"/> no<br>35 USC 119 (a-d) conditions <input type="checkbox"/> yes <input type="checkbox"/> no <input type="checkbox"/> Met after Allowance<br>Verified and Acknowledged _____<br>Examiner's Signature Initials |   | <b>STATE OR COUNTRY</b><br>CANADA | <b>SHEETS DRAWING</b><br>40   | <b>TOTAL CLAIMS</b><br>18              |
|  |   |                                   | <b>INDEPENDENT CLAIMS</b><br>3  |  |
| <b>ADDRESS</b><br>23053  |   |                                   |   |  |
| <b>TITLE</b><br>System, method and article of manufacture for forecasting and street testing using a network-based personal investment manager   |   |                                   |   |  |
| <b>FILING FEE RECEIVED</b><br>840  | FEES: Authority has been given in Paper No. _____ to charge/credit DEPOSIT ACCOUNT No. _____ for following: |                                   | <input type="checkbox"/> All Fees<br><input type="checkbox"/> 1.16 Fees ( Filing )<br><input type="checkbox"/> 1.17 Fees ( Processing Ext. of time )<br><input type="checkbox"/> 1.18 Fees ( Issue )<br><input type="checkbox"/> Other _____<br><input type="checkbox"/> Credit |  |